

## **ANNEXURE-B**

Format of Complaints against Custodians and DDPs to be displayed on their websites

## A. Data for the Month ending – Aug 2023

S.No	Received from	Pending at the end of the last month	Received during the month	Resolved during the month*	Total Pending at the end of month **	Complaints Pending > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	Nil	2	2	Nil	Nil	2.5
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	NA
3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	NA
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	NA
5	Grand Total	Nil	2	2	Nil	Nil	2.5

## B. Trend of Monthly disposal of complaints for the Financial Year-

		Carried	forward	Recei	ved	Resolv	'ed	Pending	at
SN	Month	from	previous	during	the	during		the end of	the
		month		month		month *		month **	
1	April 2023	Nil		Nil		Nil		Nil	
2	May 2023	Nil		Nil		Nil		Nil	
3	June 2023	Nil		Nil		Nil		Nil	
4	July 2023	Nil		1		1		Nil	
5	August 2023	Nil		2		2		Nil	
6	Sep 2023								
7	October 2023								
8	Nov 2023								
9	Dec 2023								
10	January 2024								
11	February 2024								
12	March 2024								
	Grand Total	N	lil	3		3		Nil	

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.
\*\* Inclusive of complaints pending as on the last day of the month.



^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## C. Trend of Annual (FY) disposal of complaints (For 3 years on rolling basis)-

SNo		Carried forward	Received	Resolved	Pending at the
		from previous year	during the year	during the year	end of the year
1	2021-22	Nil	9	9	Nil
2	2022-23	Nil	21	21	Nil
3	2023-24	Nil	3	3	Nil
	Grand Total	Nil	33	33	Nil