

# WINJAMMER FILING

INITIAL

End Date:1/21/2025

Firm Name:Deutsche Bank Securities Inc.

Form:Daily Seg - FOCUS II - Daily

Submit Date:1/22/2025

**INITIAL**

**End Date:1/21/2025**

**Firm Name:Deutsche Bank Securities Inc.**

**Form:Daily Seg - FOCUS II - Daily**

**Submit Date:1/22/2025**

**Daily Segregation - Cover Page**

Name of Company

**Deutsche Bank Securities Inc.**

Contact Name

**Kira Gidalevskaya**

Contact Phone Number

**212-250-9555**

Contact Email Address

**kira.gidalevskaya@db.com**

FCM's Customer Segregated Funds Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or
- b. Minimum percentage of customer segregated funds required:% ; or
- c. Dollar amount range between:and; or
- d. Percentage range of customer segregated funds required between:% and%.

**100,000,000**

**0**

**0 0**

**0 0**

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or
- b. Minimum percentage of customer secured funds required:% ; or
- c. Dollar amount range between:and; or
- d. Percentage range of customer secured funds required between:% and%.

**50,000,000**

**0**

**0 0**

**0 0**

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or
- b. Minimum percentage of cleared swaps customer collateral required:% ; or
- c. Dollar amount range between:and; or
- d. Percentage range of cleared swaps customer collateral required between:% and%.

**1,000,000**

**0**

**0 0**

**0 0**

Attach supporting documents CH

**INITIAL****End Date:1/21/2025****Firm Name:Deutsche Bank Securities Inc.****Form:Daily Seg - FOCUS II - Daily****Submit Date:1/22/2025****Daily Segregation - Secured Amounts**

## Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

0 [7305]

1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers
  - A. Cash 423,607,424 [7315]
  - B. Securities (at market) 207,768,665 [7317]
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade 225,129,091 [7325]
3. Exchange traded options
  - a. Market value of open option contracts purchased on a foreign board of trade 0 [7335]
  - b. Market value of open contracts granted (sold) on a foreign board of trade 0 [7337]
4. Net equity (deficit) (add lines 1. 2. and 3.) 856,505,180 [7345]
5. Account liquidating to a deficit and account with a debit balances - gross amount 4,857,928 [7351]
- Less: amount offset by customer owned securities -4,687,497 [7352] 170,431 [7354]
6. Amount required to be set aside as the secured amount - Net Liquidating Equity 856,675,611 [7355]
- Method (add lines 4 and 5)
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6. 856,675,611 [7360]

## FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks
  - A. Banks located in the United States 81,539,172 [7500]
  - B. Other banks qualified under Regulation 30.7 0 [7520] 81,539,172 [7530]
2. Securities
  - A. In safekeeping with banks located in the United States 111,584,990 [7540]
  - B. In safekeeping with other banks qualified under Regulation 30.7 0 [7560] 111,584,990 [7570]
3. Equities with registered futures commission merchants
  - A. Cash 0 [7580]
  - B. Securities 0 [7590]
  - C. Unrealized gain (loss) on open futures contracts 0 [7600]
  - D. Value of long option contracts 0 [7610]
  - E. Value of short option contracts 0 [7615] 0 [7620]
4. Amounts held by clearing organizations of foreign boards of trade
  - A. Cash 0 [7640]
  - B. Securities 0 [7650]
  - C. Amount due to (from) clearing organization - daily variation 0 [7660]
  - D. Value of long option contracts 0 [7670]
  - E. Value of short option contracts 0 [7675] 0 [7680]
5. Amounts held by members of foreign boards of trade
  - A. Cash 504,276,750 [7700]
  - B. Securities 96,183,675 [7710]
  - C. Unrealized gain (loss) on open futures contracts 225,129,091 [7720]
  - D. Value of long option contracts 0 [7730]
  - E. Value of short option contracts 0 [7735] 825,589,516 [7740]
6. Amounts with other depositories designated by a foreign board of trade 0 [7760]
7. Segregated funds on hand 0 [7765]
8. Total funds in separate section 30.7 accounts 1,018,713,678 [7770]
9. Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8) 162,038,067 [7380]
10. Management Target Amount for Excess funds in separate section 30.7 accounts 50,000,000 [7780]
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target 112,038,067 [7785]

**INITIAL****End Date:1/21/2025****Firm Name:Deutsche Bank Securities Inc.****Form:Daily Seg - FOCUS II - Daily****Submit Date:1/22/2025****Daily Segregation - Segregation Statement**

## SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

|     |   |  |
|-----|---|--|
| 1.  | Net ledger balance  |  |
|     | A. Cash   | <u>1,995,105,956</u> [7010]            |
|     | B. Securities (at market)   | <u>590,714,098</u> [7020]              |
| 2.  | Net unrealized profit (loss) in open futures contracts traded on a contract market          | <u>529,525,308</u> [7030]              |
| 3.  | Exchange traded options   |  |
|     | A. Add market value of open option contracts purchased on a contract market                 | <u>226,100,631</u> [7032]              |
|     | B. Deduct market value of open option contracts granted (sold) on a contract market         | <u>-244,207,807</u> [7033]             |
| 4.  | Net equity (deficit) (add lines 1, 2 and 3)   | <u>3,097,238,186</u> [7040]            |
| 5.  | Accounts liquidating to a deficit and accounts with debit balances - gross amount           | <u>837,320</u> [7045]                  |
|     | Less: amount offset by customer securities  | <u>-837,320</u> [7047] <u>0</u> [7050] |
| 6.  | Amount required to be segregated (add lines 4 and 5)  | <u>3,097,238,186</u> [7060]            |
|     | FUNDS IN SEGREGATED ACCOUNTS  |  |
| 7.  | Deposited in segregated funds bank accounts   |  |
|     | A. Cash   | <u>7,013,612</u> [7070]                |
|     | B. Securities representing investments of customers' funds (at market)                      | <u>0</u> [7080]                        |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <u>73,173,894</u> [7090]               |
| 8.  | Margins on deposit with derivatives clearing organizations of contract markets              |  |
|     | A. Cash   | <u>2,696,759,256</u> [7100]            |
|     | B. Securities representing investments of customers' funds (at market)                      | <u>0</u> [7110]                        |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <u>517,540,204</u> [7120]              |
| 9.  | Net settlement from (to) derivatives clearing organizations of contract markets             | <u>21,972,569</u> [7130]               |
| 10. | Exchange traded options   |  |
|     | A. Value of open long option contracts  | <u>226,100,631</u> [7132]              |
|     | B. Value of open short option contracts   | <u>-244,207,807</u> [7133]             |
| 11. | Net equities with other FCMs  |  |
|     | A. Net liquidating equity   | <u>1,131,092</u> [7140]                |
|     | B. Securities representing investments of customers' funds (at market)                      | <u>0</u> [7160]                        |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <u>0</u> [7170]                        |
| 12. | Segregated funds on hand  | <u>0</u> [7150]                        |
| 13. | Total amount in segregation (add lines 7 through 12)  | <u>3,299,483,451</u> [7180]            |
| 14. | Excess (deficiency) funds in segregation (subtract line 6 from line 13)                     | <u>202,245,265</u> [7190]              |
| 15. | Management Target Amount for Excess funds in segregation                                    | <u>100,000,000</u> [7194]              |
| 16. | Excess (deficiency) funds in segregation over (under) Management Target Amount              | <u>102,245,265</u> [7198]              |
|     | Excess  |  |

**INITIAL**

**End Date:1/21/2025**

**Firm Name:Deutsche Bank Securities Inc.**

**Form:Daily Seg - FOCUS II - Daily**

**Submit Date:1/22/2025**

**Daily Segregation - Swaps Statement**

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS  
AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

|   |                                 |
|---|---------------------------------|
| Cleared Swaps Customer Requirements   |                                 |
| 1. Net ledger balance   |                                 |
| A. Cash   | <u>0</u> [8500]                 |
| B. Securities (at market)   | <u>0</u> [8510]                 |
| 2. Net unrealized profit (loss) in open cleared swaps   | <u>0</u> [8520]                 |
| 3. Cleared swaps options  |                                 |
| A. Market value of open cleared swaps option contracts purchased  | <u>0</u> [8530]                 |
| B. Market value of open cleared swaps option contracts granted (sold)                                       | <u>0</u> [8540]                 |
| 4. Net Equity (deficit) (add lines 1, 2, and 3)   | <u>0</u> [8550]                 |
| 5. Accounts liquidating to a deficit and accounts with debit balances - gross amount                        | <u>0</u> [8560]                 |
| Less: amount offset by customer owned securities  | <u>0</u> [8570] <u>0</u> [8580] |
| 6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)                         | <u>0</u> [8590]                 |
| Funds in Cleared Swaps Customer Segregated Accounts   |                                 |
| 7. Deposited in cleared swaps customer segregated accounts at banks   |                                 |
| A. Cash   | <u><b>4,090,266</b></u> [8600]  |
| B. Securities representing investments of cleared swaps customers' funds (at market)                        | <u>0</u> [8610]                 |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                       | <u>0</u> [8620]                 |
| 8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts |                                 |
| A. Cash   | <u><b>1,020,010</b></u> [8630]  |
| B. Securities representing investments of cleared swaps customers' funds (at market)                        | <u>0</u> [8640]                 |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                       | <u>0</u> [8650]                 |
| 9. Net settlement from (to) derivatives clearing organizations  | <u>0</u> [8660]                 |
| 10. Cleared swaps options   |                                 |
| A. Value of open cleared swaps long option contracts  | <u>0</u> [8670]                 |
| B. Value of open cleared swaps short option contracts   | <u>0</u> [8680]                 |
| 11. Net equities with other FCMs  |                                 |
| A. Net liquidating equity   | <u>0</u> [8690]                 |
| B. Securities representing investments of cleared swaps customers' funds (at market)                        | <u>0</u> [8700]                 |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                       | <u>0</u> [8710]                 |
| 12. Cleared swaps customer funds on hand  |                                 |
| A. Cash   | <u>0</u>                        |
| B. Securities representing investments of cleared swaps customers' funds (at market)                        | <u>0</u>                        |
| C. Securities held for particular cleared swaps customers in lieu of cash (at market)                       | <u>0</u> <u>0</u> [8715]        |
| 13. Total amount in cleared swaps customer segregation (add lines 7 through 12)                             | <u><b>5,110,276</b></u> [8720]  |
| 14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)          | <u><b>5,110,276</b></u> [8730]  |
| 15. Management Target Amount for Excess funds in cleared swaps segregated accounts                          | <u><b>1,000,000</b></u> [8760]  |
| 16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management         | <u><b>4,110,276</b></u> [8770]  |